

Botanicals and Nutritional Compounds to Support Gastrointestinal Health

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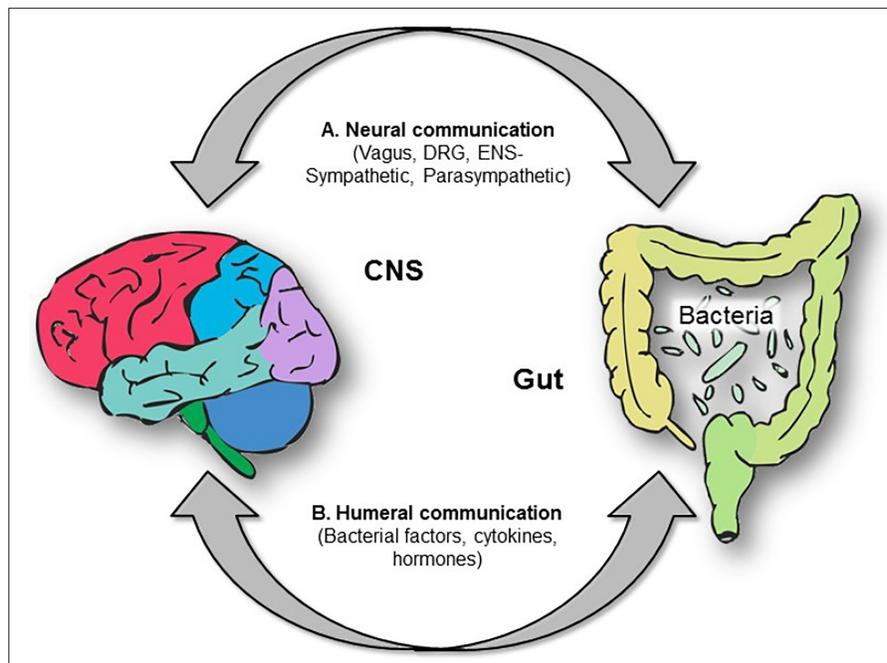
Gut Lining: Key to Gastrointestinal Health

The epithelial lining of the digestive tract, which extends from the esophagus through the anus, plays a key role in health and homeostasis. Comprised of multiple layers, it offers a protective barrier against harmful pathogens and facilitates nutrient absorption. Yet the integrity of these tissues is susceptible to damage through chronic inflammatory processes. This impairs tissue function and contributes to the formation of gastrointestinal, metabolic, and other chronic disease.

In the stomach, healthy gastric acid secretion begins the digestive process and provides the first line of defense against harmful microbes. Various factors, including inflammation and overgrowth of *Helicobacter pylori*, impair stomach functions and can lead to erosion of the gastric and duodenal mucosa.

A healthy intestinal lining is essential for overall health and homeostasis. It plays a vital role in the ongoing, complex, and dynamic communication between the gut, brain, nervous system, endocrine system, and immune system. The gut lining plays a determining role in effective gut-brain axis communication, nutrient absorption, and influences the vibrancy of the microbiome. The integrity of the epithelial lining, particularly the mucus layer, and permeability of the gut wall are essential to these homeostatic functions. Chronic inflammatory processes disrupt these functions, leading to a breakdown in homeostatic systems.

Botanical and nutritional compounds offer an ideal therapeutic approach to protect and support the integrity of the gut tissues. Through their ability to calm inflammatory processes and modulate the healing response, botanicals enhance the restoration of the ongoing processes that support the complex homeostatic dynamic. They also support and encourage the entire digestive process.



The Brain-gut Axis and the Bi-directional System of Communication.

Jenkins TA, Nguyen JC, Polglaze KE, Bertrand PP. *Influence of Tryptophan and Serotonin on Mood and Cognition with a Possible Role of the Gut-Brain Axis*. *Nutrients*. 2016 Jan 20;8(1).

Gastrointestinal Disease and Health

The digestive tract consists of the mouth, esophagus, stomach, small intestine, and large intestine; known collectively as the gastrointestinal (GI) tract. Gastrointestinal disease is widespread, affecting about 60 to 70 million Americans annually. This includes multiple conditions ranging from abdominal pain, heartburn, and indigestion to peptic and duodenal ulcers. It also includes cancers of the esophagus, stomach, and colon.¹ Inflammation is the primary factor that affects the epithelium of the esophagus, stomach lining, and intestines. Low-grade, chronic inflammation is widely recognized as a major determining factor in health, aging, and the development of disease.²

The stomach, as the gateway between the esophagus and intestines, plays a key role in promoting GI homeostasis. Factors such as inflammatory processes, gastric acid issues, and the bacteria *Helicobacter pylori* play a pivotal role in stomach health and disease. These factors adversely affect the esophageal lining and the small intestinal tract and also compromise the stomach's ability to digest nutrients. *H. pylori* is found to modulate gastric acid secretion.³

In the intestinal tract, inflammation sets the stage for increased permeability. Permeability is a delicate balance that allows ingestion of nutrients and at the same time, offers protection from influx of harmful pathogens or toxic metabolic byproducts. Increased permeability, linked with chronic inflammation and tissue injury, results in decreased nutrient absorption and protective capacity. Chronic inflammation of the GI lining disrupts gut homeostasis and impacts overall health.²

In addition, ongoing communication between the various parts of the nervous system, including the enteric nervous system, plays a dynamic role in health. It is well-known that stress negatively impacts the neuroendocrine system and GI health. Inflammatory processes in the large intestine lining adversely influence the colon microbiome, which is an intrinsic part of the enteric nervous system and ongoing communication process between the gut, brain, and immune systems.⁴

Gastric Disease and GERD

The primary GI symptom that brings people in to see a doctor is abdominal pain. Almost half these patients are diagnosed with gastroesophageal reflux (GERD). GERD affects about 20% of adults in the US.^{5,6}

Patients may experience symptoms including heartburn, nausea, or bloating. Other symptoms of GERD can include laryngitis, hoarseness, chronic sinusitis, dental erosions, and cough. GERD is implicated in asthma, recurrent pneumonitis, and idiopathic pulmonary fibrosis. Epidemiological studies find that 34% to 89% of those with asthma have underlying GERD.⁶

While occasional dyspepsia is considered normal, it can become a chronic condition with severe symptoms. The chronic inflammation causes damage to the mucosal lining of the esophagus, stomach, and intestines. Structural changes at the gastro-esophageal sphincter can make a person more prone to stomach acids and undigested food rising up which causes burning of the esophageal tissues. Excess or chronic reflux of food, acid, and pepsin causes inflammation in the esophageal mucosa with resultant erosion or ulceration.^{1,5,7,8}

GERD is considered to be a spectrum of disorders that progresses from non-erosive reflux disease (NERD) to more chronic, erosive conditions including erosive esophagitis, Barrett's esophagus, and esophageal adenocarcinoma. GERD incidence has risen significantly since 1970 and affects about 20%



of the population.⁹ Of the 3.3 million Americans diagnosed with Barrett's, about 90% have nondysplastic Barrett's.¹

Smoking and obesity are factors most commonly associated with higher risk for GERD. Genetics is considered to play a role in 18% to 31% of GERD incidence. The bacterium *Helicobacter pylori*, found in the mucus layer of the stomach, plays a key role in GERD. Although some studies find that eradication of *H. pylori* does not alleviate GERD, since chronic *H. pylori* infection is associated with other disease processes, including increased risk for peptic ulcers and gastric cancer, it is still considered a therapeutic target.⁸

Stomach Health and Gastric Acid

Studies find that up to 50% of gastric acid secretion occurs before food even enters the stomach. Stimulation in this anticipatory, or cephalic, phase of eating is primarily mediated through the vagus nerve, which links the brain, brainstem, and GI system. It activates digestive salivary secretions in the mouth and triggers activation of the stomach, pancreas, and gall bladder. It innervates regions of the GI tract that influence digestion, satiety, and caloric intake. The vagus nerve also promotes the expansion and contractions of the stomach along with the release of gastric acid for digestion.^{3,10,11,16}

The mechanisms of gastric acid secretion, inhibition, and regulation involve complex, ongoing interactions between the endocrine, paracrine, nervous, and enteric nervous systems.³ Gastrin and ghrelin, two factors that influence gastric secretions, are produced in the gastric mucosa and also by the hypothalamus.³

Gastric glands deep in the stomach's mucosal layer produce and secrete gastric acid (HCl), enzymes, hormones, protective mucus, and paracrine molecules. Gastric acid (HCl) secretion initiates the digestive process to break down proteins and other dietary components into smaller compounds.¹⁰⁻¹³ Pepsinogen is converted to the proteolytic enzyme pepsin in the presence of HCl. Pepsin is most active at the acidic pH of 1.8 and is inactive in conditions of alkaline or neutral pH.¹³

Gastric acid secretion is correlated with gastric emptying. When food sits in the stomach longer than it should, this contributes to inflammation and disruption of normal gastric function. This also disrupts the normal inhibitory and stimulatory mechanisms. A study found that when gastric secretions such as HCl and pepsin were replaced in those with atrophic gastritis, emptying time and digestion of food improved.³

The peptide hormone gastrin, secreted from the stomach, stimulates gastric acid secretion and mucosal growth. Gastrin release is stimulated by the presence of amino acids and peptides in the stomach, by the sensation of stomach distension, and by coffee. Neural reflexes in the enteric and parasympathetic nervous system also stimulate the release of gastrin. These acidic secretions also function as part of the immune system as the strong acids provide a first line of defense that eliminate any food-borne pathogens or microbes and prevent them from entering the small intestine.^{10,11}

The stomach's acid secretion is usually one to three liters a day and can bring the luminal pH down to 1.0. Bicarbonate, secreted with mucus, helps buffer the acids and protect the epithelium from damage. Studies find that the pH of the stomach's bicarbonate layer, just above the cell surface, is close to 7.0, even when the stomach lumen pH is highly acidic at pH 2.0.¹⁴

Lifestyle and nutritional factors greatly influence gastric acidity. Chronic stress, high intake of refined carbohydrates, and poor nutritional intake all contribute to disruption of normal gastric acid secretion.

Insufficient dietary B vitamins is linked with impaired gastric secretion.¹²

Gastric acid secretion naturally declines with age. About 30% of those over 60 years of age in the US have atrophic gastritis, in which there is little or no gastric acid secretion. Around 40% of postmenopausal women are found to have no basal gastric acid secretion.^{12,13,17}

Low HCl secretion disrupts the absorption of multiple nutrients from the stomach and small intestines. This includes folic acid, B12 (cobalamin), minerals (such as calcium and iron), and impaired digestion of proteins; particularly tryptophan, tyrosine, and phenylalanine.^{3,17,18}

There is a strong correlation between low levels of stomach acid with precancerous gastric conditions and with chronic atrophic gastritis.¹⁰ Long-term decreased acid production (hypochlorhydria) is associated with increased risk of gastric cancer.¹¹ Chronic low-grade inflammation and decreased acid secretion in the stomach leads to the slow development of gastric cancer over many years.¹⁰

Dysfunctional HCl secretion can result in:¹⁰

1. Decreased acid secretion: chronic gastritis, dyspepsia, intestinal bacterial overgrowth, gastric cancer.
2. Increased acid secretion: GERD with epigastric pain, reflux, heartburn.
3. Abnormal acid secretion: GERD, peptic ulcer, gastric cancer.

The Stomach Lining and Gastritis

The epithelial and mucosal lining of the stomach is influenced by the bacterial population, inflammatory factors, diet, and chemicals. When the stomach is irritated by compounds such as aspirin or alcohol, mucus secretion is increased.¹⁴ Overuse of antibiotics and NSAIDs (nonsteroidal anti-inflammatory drugs) are well-known for their erosive action on the gastric and duodenal lining.^{12,13} These compounds contribute to inflammation of the gastric mucosa. NSAIDs are considered to be the most common cause of gastric and peptic ulcers.^{14,15} Gastritis, most commonly associated with *H. pylori*, can also arise due to chronic inflammatory conditions, Chron's disease, other autoimmune disorders, pernicious anemia, and damage of the stomach lining.¹⁶

Reduced gastric acid secretion increases the risk of bacterial overgrowth and influences the microbiome of the stomach and of the small and large intestines.^{10,11,16} Duodenal acidity is directly influenced by the pH of the chyme that arrives from the stomach and determines the amount of alkaline fluids that are secreted. In both acute and chronic achlorhydria it is noted that the stomach and duodenum are quickly colonized with microbes from the colon with bacterial overgrowth and increased SIBO (small intestinal bacterial overgrowth). Colonic flora is found in the stomach of 25% of those with achlorhydria.^{13,18}

***Helicobacter pylori* Influences Gastric Health**

For years, researchers assumed that any bacteria in the human stomach were merely transient, resulting primarily from ingested food. In the 1980s researchers began to realize that the stomach naturally contains a large bacterial community with hundreds of phenotypes.^{11,16} One genomic study identified about 128 bacterial strains in the gastric flora.³ Current studies explore the gastric microbiome and its role in digestion, health, and disease.¹¹

Scientists are particularly interested in the bacteria *Helicobacter pylori*, which consists of over 20 recognized species. These are subdivided into groups according to the organ they inhabit, as *H. pylori* species are organ-specific to either the ileum, colon, biliary tree, liver, or stomach.¹⁶ *H. pylori* is highly successful in colonizing the stomach. This spiral-shaped, gram-negative bacteria is able to live in the very acidic conditions of the stomach where it primarily colonizes the antrum and corpus and resides in the gastric mucosa.¹¹

H. pylori is found in at least half and potentially in all human populations.^{10,16} Some research states that humans have been colonized with *H. pylori* since our first ancestors.¹⁰ While colonization of *H. pylori* itself is not considered a disease condition, it is highly correlated with the risk of developing a number of diseases.¹⁸ The complex interaction between the host and *H. pylori* involves a constant interplay of factors including the host, the microbiome constituents, and gastric acid levels. These constitute contributing factors to disease processes.^{10,11,16}

H. pylori infection is the main etiological agent in numerous GI conditions including gastritis, Barrett's esophagus, peptic ulcers, gastric adenocarcinoma, and gastric mucosa-associated lymphoid tissue lymphoma.^{19,20} It also plays a role in disorders of the upper GI and hepatobiliary tract.^{5,16}

Gastric and duodenal ulcers are highly correlated with *H. pylori* infection. About 95% of duodenal ulcers and 85% of gastric ulcers are found to occur in relation to *H. pylori* infection.¹⁶ It is estimated that those who test positive for *H. pylori* have about a 10% to 20% risk of developing some type of ulcer and 1% to 2% risk of developing distal gastric cancer.¹⁶ *H. pylori* is the only bacteria formally recognized as a carcinogen as it is implicated as a direct causative factor for gastric cancer.^{11,16}

There is an inverse relationship between *H. pylori* and GERD. While being overweight and obese are recognized risk factors for GERD, the dietary component is considered secondary to the role of *H. pylori*.¹⁰ This is because *H. pylori* disrupts normal gastric physiology and gastric acid secretion.¹¹ Interestingly, while *H. pylori* is found to influence gastric acid secretion, the pattern of its influence varies with each individual.^{3,18} This is further complicated by use of medications and dietary influences.

Long-term *H. pylori* infection is correlated with lower acid output.^{3,10} Additionally, *H. pylori* is linked with atrophic gastritis, which results in lowered gastric acid.¹⁹ A correlation is found between acid levels in the stomach and the distribution of gastritis. Since stomach acids keep a check on *H. pylori* growth, when stomach acids are low for any reason, *H. pylori* will proliferate and colonize large areas of the stomach. A condition known as *H. pylori* corpus gastritis is associated with hypochlorhydria. When *H. pylori* is eradicated, acid secretion is found to naturally increase.¹⁶

H. pylori causes numerous infections, is associated with chronic inflammation, and can contribute to hyperproliferation of epithelial cells.¹⁶ Chronic inflammatory processes play a key role in the development of gastritis and duodenal ulcers.^{3,16} This involves a complex interaction of proinflammatory and anti-inflammatory mediators including IL-1, and IL-1beta. High expression of IL-1 is linked with the reduced stomach acid output that facilitates the spread of *H. pylori*.¹⁶

Numerous compounds and herbs demonstrate an ability to inhibit *H. pylori*. We will later review several, including Ginger Root,²¹ Mastic Gum,²²⁻²⁵ Manuka Honey,²⁶ Licorice Root,²⁷ and Glutamine.²⁸ Most of these also exert a soothing and healing influence on epithelial tissues, which are prone to damage from inflammatory processes.

Inflammation and Tissue Integrity

A healthy intestinal lining is essential for overall health and homeostasis as it influences effective gut-brain axis communication, the capacity for nutrient absorption, and the vibrancy of the microbiome. The epithelial lining of the gut extends from the lower esophageal sphincter to the anus and plays a key role in physiological homeostasis. The epithelial tissues provide a dynamic interface for a vast communication network. Any disruption in tissue integrity impedes this vital, ongoing flow of information and signaling that mediates and modulates multiple functions.^{4,29,30}

The gut lining consists of the vascular endothelium, an epithelial cell lining, and a mucus layer. There is a constant, ongoing dynamic, communication process here involving digestive secretions, immune and inflammatory mediators, and cellular processes. These are all constantly responding to and interacting with the constant flow of stimuli from food moving through the digestive tract and other stimuli.³⁰

The gut lining provides protection from compounds that can cause mucosal inflammation and plays a key role in transport functions.^{29,30} It helps prevent microorganisms or harmful metabolic byproducts from entering the circulation. At the same time, it allows for absorption of fluids, electrolytes, and nutrients into the system. Thus, intestinal permeability plays a key role in health and disease. Loss of intestinal barrier function is correlated with inflammatory bowel disease, celiac disease, and other conditions. Upregulation of TNF, a powerful inflammatory mediator, is implicated in dysregulation of intestinal barrier.³¹

Mucosal Layer Transport and Role

Metabolic homeostasis is another parameter of allostasis. Metabolism is an ongoing, dynamic, biochemical process at the cellular level that sustains life through continual transformation of nutrients and molecular compounds. Metabolic balance influences endocrine function, immunity, cell proliferation, cellular communication, bioenergetics, mood, and behavior.³¹⁻³³

The intestinal mucosa functions as an active and passive transport mechanism.³¹ The viscous secretion of mucus serves as a protective coating over the mucosal layer of the GI tract. Normal secretion of mucus is modulated through interaction between parasympathetic innervation, genetic factors, gut microbes, and neuropeptides in the enteric nervous system.^{14,30,32}

The mucosal layer is comprised mainly of glycoproteins known as mucins, which are made in specialized cells in the stomach, salivary glands, and intestines.^{14,32} The mucosal layer consists of an inner and outer layer, which dynamically interact with the gut and microbiota.^{4,32} The dense inner layer protects the epithelial layer from bacterial invasion. The outer layer hosts the majority of intestinal microbes and provides nutrients for them (mucin) in the absence of sufficient dietary fiber. The microbiota are found to modulate barrier function and intestinal permeability. Likewise, membrane permeability influences signaling pathways and communication within the microbiome.^{4,30,34}

Excess mucus is secreted as a protective response to parasitic infection, injury, and ongoing inflammation.¹⁴ There is also an ongoing modulatory process of inflammatory compounds in the intestinal mucosa including TNF, interferon-gamma, IL factors, and anti-inflammatory cytokines.³² The cytokines play a key role in modulating inflammatory processes in the mucosal immune system.³²

Intestinal Permeability and Tight Junctions

The primary component of the mucosal barrier is the intestinal epithelium, which consists of many types of specialized cells including enteroendocrine cells and immune cells. Cell cohesion and cellular permeability are supported by several types of junctions known as tight junctions, adherence junctions, and desmosomes.³³ Tight junctions are formed from complex protein structures.³⁴

Generally, while the junctions between cells form a tight barrier in the stomach and large intestine, they are more open in the small intestine to allow greater absorption of nutrients, electrolytes, and water. Junction tightness has the quality of plasticity, is regulated through various mechanisms, and plays a role in immune homeostasis.^{31,34,35}

Junctions, as dynamic areas of ongoing activity, are constantly responding to stimuli including nutrients, microbes, and neuronal, humoral, and inflammatory signaling. The junctions open and close, allowing nutrients and other cellular products to pass through while keeping microbes or other unwanted pathogens from entering the blood stream.^{29,34,35}

Chronic inflammation compromises functional permeability. The mucosa usually heals rapidly, within minutes, to maintain an intact barrier. Prolonged inflammation contributes to erosions, ulcerations, and the loss of appropriate tissue permeability and resilience.³¹ The resultant breach in the mucosal barrier is often referred to as a “leaky gut”. Along with chronic inflammation it is a marker of multiple disease conditions, pain, and discomfort.^{29,35} A large increase of inflammatory cytokines including TNF, IL-1beta, and IL-3 is noted in chronic intestinal inflammation.^{30,33}

Factors that contribute to chronic inflammation include diet, genetic tendencies, intestinal microbiome constituents, and microbiota balance.³⁰ Changes in the intestinal microbiome are associated with gut barrier dysfunction.³⁴ Diets high in fat and sugars contribute to inflammatory processes and gut permeability.³⁰ A high-fructose diet is found to contribute to a loss of tight junction proteins in the duodenum and an increase of endotoxins in the portal vein.³⁰

Stress and Intestinal Barrier Health

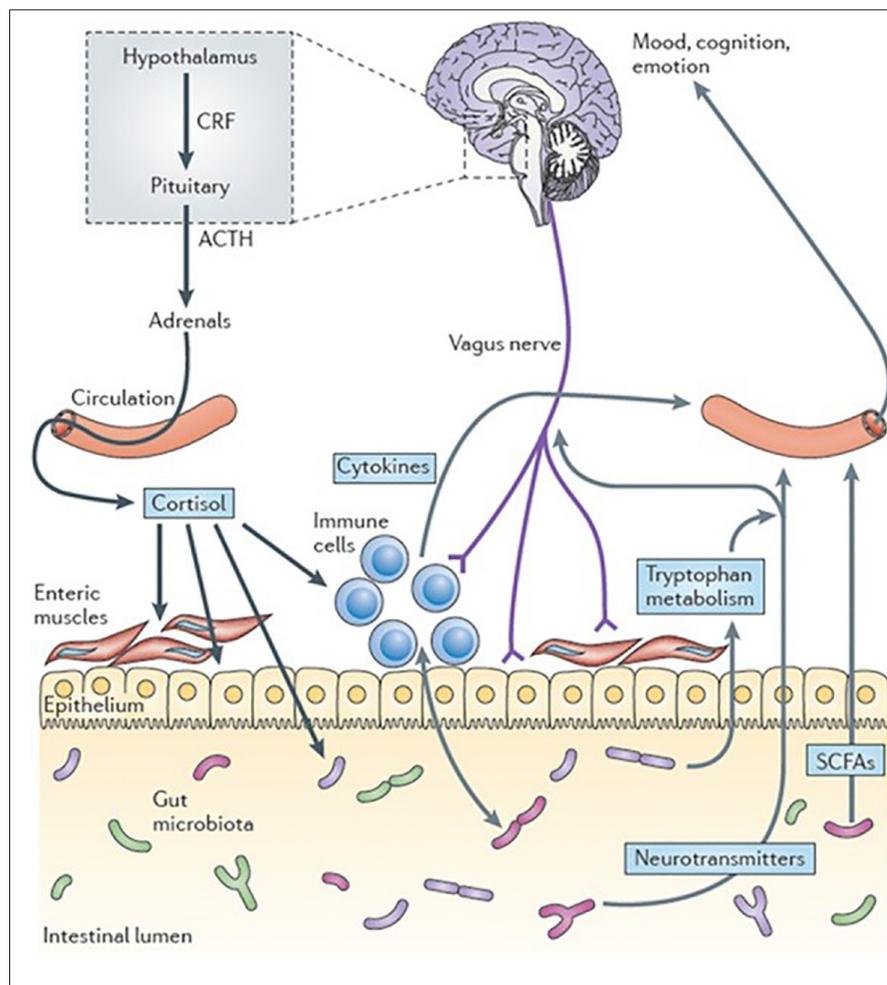
Stress is shown to affect the intestinal barrier and to be a contributing factor in the development of increased gut permeability and visceral sensitivity. This is partially mediated by CRF (corticotrophin releasing factor) and its receptors. The gut microbiome also influences host barrier function both directly and via its interaction with the HPA (hypothalamic-pituitary-adrenal) axis.^{34;36-40}

The role of the HPA axis and the immune system is widely recognized as the primary stress response system that coordinates an adaptive response.^{34,37,38,40,41} Over the last decade research on the gut-brain axis finds that the gut microbiota mediates the interaction between the HPA axis and the immune response. Conditions such as IBS are recognized as a disorder of the brain-gut-microbiota axis and are most often concurrent with stress, dysbiosis, and increased intestinal permeability.³⁴ The intestinal barrier and microbiome are closely integrated with HPA axis, neurotransmitter systems, and immune function.^{34;36-38} As such, these systems play an integral role in the stress response.^{34,36,38,39}

The Gut Microbiome and the Enteric Nervous System

The gut microbiome is highly studied and recognized for the complex, dynamic, ongoing, and vital role it plays in maintaining homeostatic health throughout the human organism.^{36,37,40,42} The gut microbiome is integrally linked with health while alteration in the gut microbiota is correlated with numerous disease conditions including IBD (inflammatory bowel disease), type 2 diabetes, obesity, allergies, and colorectal cancer.⁴³

The human body is comprised of over 90% microbial cells living in symbiotic relationship. The most densely colonized areas are the small and large intestines.³⁴ There are about 10¹⁴ microbes, with over 500 species, colonizing the large intestines. Each person has their own unique bacterial microbiota profile, although it is noted that healthy persons show a similar distribution of phenotypes.^{33,37,43} Composition of the microbiome is influenced by stress, diet, environmental factors, and by the host's genetics.⁴³



Pathways Involved in Bi-directional Communication between the Gut Microbiota and the Brain

Bryon Petschow, et. al., *Probiotics, prebiotics, and the host microbiome: the science of translation*
Ann N Y Acad Sci. 2013, Dec; 1306(1): 1-17

The Gut Microbiome's Role in Homeostasis

Scientists find the gut microbiome to be an integral part of the dynamic system that includes the gut lining, microbiome, brain, immune system, endocrine system, and nervous system.^{4,33,35,44} Collectively referred to as the gut-brain axis or as the gut-brain-enteric-microbiota axis, this axis includes the CNS (brain and spinal cord), the neuroendocrine system, the neuroimmune system, the ANS (autonomic nervous system), the ENS (enteric nervous system), and the intestinal microbiota.^{4,34,36-41,43} Ongoing communication between these systems is bidirectional and involves neural, endocrine, humoral, and immune signaling and mechanisms.^{34,37,40,41}

A healthy microbiome is integral to and modulates the development and maturation of the immune system, the brain, and specifically of the HPA axis, which comprises the core neuroendocrine axis and primary stress response pathway.^{34,38,40,41} The microbiome also influences CNS functions and CNS maturation.⁴¹

Gut bacteria play key roles in immunomodulation, adiposity, energy balance, and ENS activity.^{38,44,45} The microbiota plays a vital role in supporting healthy digestion and metabolism.⁴⁰ It influences GI tract motility, epithelial barrier function, healthy digestion, and metabolism. Microbiota also regulates the mucosal immune system and helps prevent invasion or colonization of pathogens.^{40,45} The gut lining contains the richest density of immune cells in the body – more than circulate in the blood or reside in the bone marrow.⁴ The gut microbiota are key regulators of both systemic and mucosal immune function and of the inflammatory response.^{34,41,43}

It is also found that the microbiome influences the development of chronic inflammatory disorders, and of mental/emotional conditions such as depression. A salient feature of aging is chronic low-grade inflammation generally characterized by increased levels of TNF, IL-6, and CRP (C-reactive protein). Each of these influences physiological disease states and also affect mood and cognition.³⁴

Diseases linked to changes in intestinal microbiome include atopic diseases, IBD (irritable bowel disease), diabetes, obesity, cancer, and neuropathologies.^{30,33,35} Diet is widely known as the primary factor that influences the microbiome, with resultant impact on the whole axis.³⁹

The Enteric Nervous System

Neural networks that modulate digestive function include those in the central nervous system, the spinal cord, the prevertebral sympathetic ganglia, and the enteric nervous system.⁴⁶ The enteric nervous system (ENS) is a complex, extensive neural network found in the entire GI tract walls from the esophagus to the anus.^{45,46}

The autonomic nervous system regulates bodily processes. Its two main components are the sympathetic and parasympathetic nervous systems. The ENS is now recognized as the third division of the autonomic nervous system (ANS).^{45,46} As part of the ANS, it influences motility, digestive secretions, blood flow, and immune response. The ENS plays a key role in epithelial barrier function, vasomotor activity, and GI immune function. It controls exocrine and endocrine secretions, the microcirculation of the GI, and regulates immune and inflammatory processes.⁴⁵⁻⁴⁷ Disruption of gut-brain axis homeostasis results in IBS (irritable bowel syndrome) and other conditions.⁴⁵

Known as the second brain, the microbiota and ENS produce a number of neurotransmitters including GABA, hydroxytyrosine, tryptophan, serotonin, and dopamine.⁴² Together, these systems are viewed



by researchers as a supercomputer with processing capabilities that rival that of the brain.⁴ Though interconnected with the CNS, the ENS is noted for its ability to function independently of the CNS.^{45,47} However, the ENS within the GI tract lining works in concert with the CNS and communication is constant and bidirectional.⁴⁸

The vagus nerve, pelvic nerves, and sympathetic pathways carry information between the ENS and CNS.⁴⁸ The vagus nerve plays a crucial role in linking the GI tract, brain, and organs and helps regulate organ function. For example, the CNS exerts influence over the stomach, including stomach contractions and acid secretion, through the vagus nerve reflexes.⁴⁸

The vagus nerve transmits information from the gut to regions of the brain including the hypothalamus and limbic system, which influence mood, cognitive function, appetite, and pain sensitivity. It is found that while the communication is bidirectional, about 90% of the signals travel through the vagus nerve from the gut to the brain with only 10% going in the other direction.⁴

Over 30 neurotransmitters and neuromodulators are released by the neurons of the ENS into the bloodstream. This includes serotonin, dopamine, and nitric oxide.^{4,14} The serotonin signaling system is well-known for its key role in the gut-brain axis in relation to intestinal function, mood, digestive capacity, peristaltic reflex, pain sensitivity, and overall well-being.⁴ Endocannabinoids are also found to be vital neuromodulators in the CNS and to provide key regulatory functions in the GI tract. They regulate GI physiology, including motility, secretion, and energy balance. They also play a key regulatory role in ENS neurotransmission.⁴⁵

The ENS is estimated to contain anywhere from 200 to 600 million neurons.^{4,45,48} The majority of these are found in two major plexuses – the myenteric and the submucosal plexuses.^{45,48} The myenteric plexus is located between the muscle layers and the submucosal plexus is in the submucosa of the GI tract.⁴⁵ The continuous network of the myenteric plexus extends from the upper part of the esophagus all the way to the internal anal sphincter.⁴⁸ The submucosal ganglia form plexuses with connecting fiber bundles. These are found only in the small and large intestines.⁴⁸

Botanicals and Natural Compounds for GI Homeostasis

Health is an ongoing, dynamic process in which resiliency and adaptability help create the ongoing stability (homeostasis) maintained through allostasis.⁴⁹⁻⁵² Botanical medicines and natural compounds offer an excellent therapeutic approach to help calm inflammatory processes, promote tissue healing, restore gut homeostasis, and support a balanced neuroendocrine response to stress. The diversity of phytochemicals and nutrients naturally provide anti-inflammatory, antioxidant, antimicrobial, and anti-adhesive activity. They also provide a strong positive influence to support healthy digestive function, promote tissue healing, and support immune response.^{4,53-55}

Botanical medicines and natural compounds have been used successfully for thousands of years to enhance healthy digestion and restore health to inflamed or damaged digestive tissues. The GI epithelium tissue is known to heal and regenerate quickly.³ Soothing, demulcent botanicals exert a protective, restorative influence on the gut lining through multiple mechanisms.

Over the centuries, traditional herbalists have given herbal bitters to stimulate acid secretion and support healthy digestion. Dilutions of lemon juice or apple cider vinegar in water are also beneficial.³ Nutrients such as B-vitamins in particular are found to be a key factors in functional HCl secretion.¹³

A number of botanicals influence both the digestive and nervous system. The connection between a distressed nervous system and digestive disorders has long been recognized. Today, we know that the enteric nervous system (ENS) functions as both an independent and integrated part of the autonomic nervous system. It is no surprise that herbs offering both calmative and digestive properties will benefit most gastrointestinal conditions.

In the following sections, we will discuss some well-known and unique botanicals and natural compounds that contribute vastly to digestive health.

Botanicals

Licorice Root (*Glycyrrhiza glabra*)

Licorice is highly valued for its demulcent qualities that soothe inflammation and nourish the mucus membranes of the intestinal tract. Licorice has a long history of use in Chinese, Ayurvedic, and European traditional medicines where it is combined with other herbs, playing a supportive, but powerful role.

Research notes Licorice root for its anti-inflammatory activity and immunomodulatory influence.^{27;56-58} Licorice is found to increase production of interferon and NK (natural killer) cells.⁵⁹

Licorice contains an abundance of flavonoids, and many of those isolated from Licorice demonstrate broad-spectrum antibacterial activity.⁶⁰⁻⁶⁴ Licorice root is found to be active against *H. pylori* in vitro.⁶⁴ It exerts antimicrobial activity and a protective influence on the oral mucosa.^{65,66} A Licorice root mouthwash was found to help improve aphthous ulcers after one day of use, and many experienced clearing of the ulcers by day three.⁶⁷

Deglycyrrhizinated Licorice (DGL) is a form of Licorice with the steroid-like component glycyrrhizin removed. DGL, noted for its soothing influence and ability to calm inflammation, is found to exert a protective influence on gastric mucosa against aspirin-induced damage.^{68,69} It is used to support the healing of the epithelial mucosa tissues of the esophagus and stomach, especially when aggravated by GERD.^{68,69}

Marshmallow Root (*Althaea officinalis*)

Marshmallow root is another highly-revered demulcent herb. It is traditionally used to soothe and promote the healing of the mucus membrane tissues of the GI system and urinary tract.⁷⁰ Studies find that Marshmallow root exerts significant anti-inflammatory activity.⁷¹ It also exerts a beneficial influence on irritated mucosa.⁷⁰ Marshmallow extract is found to be protective against ethanol-induced ulcers. The flavonoid-rich mucilage from the roots soothes and coats inflamed tissues and mucosa to help facilitate tissue healing.⁷¹



Licorice Root (*Glycyrrhiza glabra*)

A combination of Ginger and Marshmallow roots was found to be protective in rats with indomethacin-induced gastric ulcers.⁷²

Chamomile (*Matricaria recutita* or *Chamomilla recutita*)

The small, bright yellow Chamomile flowers are known for their soothing, relaxing influence. They are traditionally used as an evening tea to relax the nervous system and facilitate a good night's sleep. Chamomile flowers are well-known for their ability to calm the nervous system and relieve anxiety.^{73,74} Studies suggest that the flowers inhibit cortisol production.⁷⁵



Chamomile (*Matricaria recutita* or *Chamomilla recutita*)

Chamomile flower tisane is traditionally used to alleviate nervous stomach upsets. Often used along with other herbs to treat colic in children, Chamomile also helps calm gastrointestinal muscle spasms.^{73,74} Chamomile is found to be protective of the gut mucosa.⁷⁶

Research highlights Chamomile flowers for their anti-inflammatory, antiseptic, carminative, sedative, and spasmolytic activity.^{73,74} Active ingredients of Chamomile flowers include terpenoids, azulenes, flavonoids (including quercetin and apigenin), coumarins, and mucilage.^{77,78}

Aloe Vera Gel (*Aloe barbadensis* Miller)

Aloe Vera is revered for its powerful healing attributes in many traditions including Ayurveda, Chinese medicine, and in areas where it grows, including Africa, Hawai'i, and other tropical areas. A member of the Lily family (Liliaceae), Aloe was used as a medicine in ancient Egypt, where it is represented in temple engravings dating back to 4000 BC.⁷⁹



Aloe Vera Gel (*Aloe barbadensis* Miller)

Ayurvedic medicine considers Aloe as a restorative tonic herb. Traditionally, Aloe is used to clear heat, and to soothe and promote the healing of inflamed tissues and membranes. It is well-known for its ability to calm the pain, redness, and heat of sunburn and help restore healthy skin tissue. In the same way, Aloe is used to calm inflammation and soothe inflamed tissues of the gastrointestinal tract, especially the esophagus and stomach. It is found to benefit esophagitis, and acute and chronic gastric ulcers.⁸⁰⁻⁸²

Aloe is found to calm GERD symptoms including heartburn and food regurgitation. It is known to enhance wound healing and is also found to be beneficial for oral health care as a mouthwash.⁸²⁻⁸⁵

Aloe gel is found to exert antibacterial, antiviral, antiseptic, antifungal, antioxidant, anti-inflammatory, and immunomodulatory activity.^{82,83} Aloe is also found to deter *H.pylori*.⁸²

High in chlorophyll, Aloe exerts a soothing, alkalizing influence on the stomach and helps normalize stomach pH.^{89,90} It is found to be beneficial for GI disorders and to enhance immune function.⁸² Most research is done on the gel inside the leaves where over 200 biologically active substances are found.⁸² Aloe gel is rich in polysaccharides. It also contains water- and fat-soluble vitamins along with minerals, enzymes, amino acids, enzymes, phenolic compounds, and organic acids.⁸²

The gel polysaccharides are high in mannose along with some glucose. These compounds are referred to as “polymannans.” Acemannan is a widely researched polymannan and is considered the primary polysaccharide in the inner leaf. It is found to enhance cellular immunity and to facilitate the humoral immune response.^{82,86-88} However, the many benefits of Aloe leaf extracts are considered to be the result of the combined action of all its components⁸²

Ginger Root (*Zingiber officinale*)

Ginger root is renowned as a digestive tonic throughout the world. It has been used as a cooking spice, herbal home remedy, and revered medicine for centuries. It is a valued household remedy for digestive upset, sore throat, colds, and flu. It is known to alleviate infection, reduce pain and fever, and exert a mild sedative influence.^{91,102}



Ginger Root (*Zingiber officinale*)

The American Eclectic and Physiomedical physicians used Ginger root as a stimulating tonic, stomachic, carminative, and antispasmodic. They found it useful for nausea, GI cramping, and loss of appetite.^{92,93} Studies find that Ginger enhances gastric emptying in both healthy volunteers and in those with dyspepsia.^{94,95}

Research finds that Ginger root is helpful to alleviate many types of nausea.^{96,97} Ginger is found to be gastroprotective and to benefit stress-induced IBS.^{21,98,99} Ginger’s active ingredients include its many volatile oils.⁹⁹⁻¹⁰² It demonstrates powerful antioxidant and anti-inflammatory activity.¹⁰³⁻¹⁰⁵

Lemon Balm Leaf (*Melissa officinalis*)

Lemon Balm, a member of the Mint family, easily grows in the garden. As a calmative, it is combined with other herbs to help calm anxiety, soothe the nervous system, and help promote sleep. Lemon Balm is traditionally used to calm nervous digestive disorders and to ease indigestion. It is often used to calm colic, irritable bowel disease, and gastritis.¹⁰⁸⁻¹¹¹

Lemon Balm is a mild, relaxing antispasmodic. It is rich in phenolic acids, especially rosmarinic acid, which is known to calm smooth muscle spasms. Lemon Balm is found to exert antioxidant, anti-inflammatory, and antiviral activity.¹⁰⁶⁻¹⁰⁹ Studies find Lemon Balm to be calming while also increasing alertness and improving mood and cognition.^{110,111}

Papaya Leaf (*Carica papaya*)

Papaya is best known for its delicious fruit that is enjoyed in the tropical and subtropical regions where it grows. Papaya leaf is traditionally used to treat indigestion and abdominal disorders. It is used for dyspepsia, hyperacidity, bloating, nausea, and flatulence.^{112,113}



Papaya Leaf (*Carica papaya*)

Papaya leaves contain papain, flavonoids, ascorbic acid, and other components with notable antioxidative activity. The enzyme papain is found in the Papaya bark, leaves, and fruit.¹¹²⁻¹¹⁵ Studies find that Papaya leaf benefits those with gastric ulcers.¹¹³ It is also found helpful in treating oral gingivitis.¹¹⁶

Mastic Gum (*Pistacia lentiscus*)

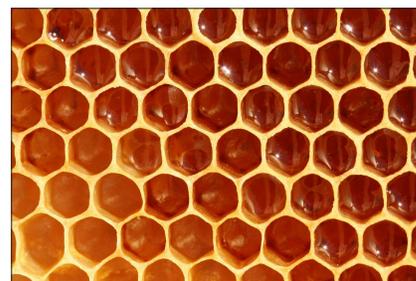
Mastic gum is the exudate of the Mastic tree (*Pistacia lentiscus*), which is native to the Greek Island of Chios in the Aegean Sea. Mastic has been used in the Mediterranean region to treat gastrointestinal disorders for several thousand years.^{117,118} Mastic gum was prized by the Egyptians and Arabs for medicinal use. A shipwreck from the Bronze age was discovered in 1982 with a cargo of 100 jars of Mastic gum. The 13th century Arab physician Ibn Al-Baytar used Mastic gum to treat upper abdominal pain, heartburn, gastric ulcers, and intestinal ulcers.^{22,117,118}

Studies report that Mastic is beneficial as a treatment for duodenal ulcers.^{119,120} It is found to exert antibacterial activity and to be highly effective against *H. pylori* both *in vivo* and *in vitro*.^{22,24,25,121-123} Mastic gum is also found to reduce harmful oral bacteria. It is often included in oral care products to help prevent periodontitis and gingivitis.¹²⁴

Botanical Extracts/Compounds

Bee Propolis

The powerful medicinal properties of propolis were well-known by the ancient Greeks, Persians, Romans, and Egyptians. The Greek word propolis means defender of the city. These ancients valued the waxy resin as a powerful antiseptic to enhance wound healing. Propolis is made by bees from plant material they collect and use as a sealant to protect the hive.



Bee Propolis

The color and chemical composition of propolis varies widely with geographical location, botanical species, and even by the bee species itself. Crude propolis contains around 50% resin, 30% wax, 10% essential and aromatic oils, 5% pollen, and 5% other organic materials, such as wood fragments. Over 300 compounds have been found in propolis including flavonoids, aldehydes, amino acids, ketones, fatty acids, and vitamins. The flavonoids are among the most researched compounds.^{125,126} The main flavonoid constituents include quercetin, baicalin, and chrysin.^{125,126}

In modern times, propolis is recognized to offer powerful antimicrobial, anti-inflammatory, and immunomodulatory influence.¹²⁷ Propolis has a regenerative influence on tissues with wound-healing capacity. Studies find it beneficial for skin healing where it supports re-epithelization.^{128,129} Propolis is found to help prevent canker sores and to speed healing of the ulcers. Because of its antimicrobial action, propolis is used in a mouth spray or mouthwash to encourage tissue healing after gum surgery or other dental work. In dentistry, propolis is found effective as an antibacterial to help address gingivitis and mouth ulcers.¹³⁰ It delays the growth and progression of skin changes in *Herpes simplex*. Propolis is found to benefit oral health in periodontal treatment as it helps prevent loss of alveolar process bone.^{131,132}

Mucositis is a serious acute complication of radiotherapy that can cause oral mucosa ulceration. This induces severe pain and discomfort and can affect normal oral function. Propolis, rich in flavonoids, exerts antiulcer, antibacterial, antifungal, healing, and anti-inflammatory influence. A study with a water-based propolis extract found it to prevent and heal radiotherapy-induced mucositis.¹³³⁻¹³⁵

The polyphenol CAPE (caffeic acid phenethyl ester) is a bioactive compound found in propolis that demonstrates antimicrobial, antioxidant, anti-inflammatory, and cytotoxic properties. It also exerts antiseptic, antibacterial, antifungal, astringent, and antiulcer qualities. Studies find that both propolis and CAPE inhibit the growth of *H. pylori*.¹³⁶⁻¹⁴¹

Manuka Honey

Manuka is a unique, dark honey that is widely used and studied for its efficacy in wound healing.²⁶ The honey is derived from the Manuka tree, *Leptospermum scoparium*. The Manuka tree belongs to the family Myrtaceae in New Zealand and the Eastern region of Australia.

Manuka honey is found to be gastroprotective.^{142,143} It is rich in methylglyoxal (MGO), a compound formed in Manuka flower nectar and transferred into the honey by bees. This compound, unique to Manuka honey, is found protective against gastric damage in rats exposed to caustic agents.¹⁴⁴ In animal studies, it is noted to significantly promote healing from gastric ulcer lesions and to preserve gastric mucosal glycoprotein.¹⁴⁵

Rich in flavonoids, Manuka honey exerts potent anti-inflammatory, antimicrobial, and antioxidant activity. Manuka is antimicrobial against a wide range of organisms including some antibiotic-resistant strains.^{142,143,146} Manuka honey is found to help prevent gastric ulcer formation.^{147,148} This is attributed to the actions noted above. It is also found beneficial to alleviate mucositis.^{145,149}

D-Limonene from Orange Peel Oil

The monocyclic monoterpene D-limonene is noted for its lemony odor. It is a major constituent of the citrus family, including oranges, lemons, mandarins, and limes. Limonene demonstrates significant anti-inflammatory activity in vivo and in vitro.¹⁵⁰ It is found to calm inflammation in the intestinal walls.¹⁵⁰ An in vitro study finds that it coats the stomach wall and is protective of the stomach lining from gastric acid exposure. It is also known to exert a protective influence on epithelial tissues.¹⁵⁰⁻¹⁵²



Orange Peel

Limonene helps calm gastric acid, relieves heartburn, and supports healthy peristalsis.¹⁵¹⁻¹⁵⁴ It can dissolve cholesterol and is clinically used to help dissolve cholesterol-containing gallstones.¹⁵¹⁻¹⁵⁴ In clinical studies, D-limonene is found to significantly decrease symptoms of GERD and chronic heartburn. Some participants experienced complete relief.¹⁵⁵

Sodium Alginate

Sodium alginate offers a unique protective action to the stomach and GI. Alginate-based, raft forming formulations have been used for over 30 years for their ability to offer relief from reflux.¹⁵⁶⁻¹⁶¹ Alginates are long-chain carbohydrates that naturally occur in brown seaweeds. Alginate naturally precipitates to form a thick gel when it contacts stomach acids and liquids. This thick gel expands to become a floating foam that sits in the stomach like a raft, forming a protective, pH-neutral barrier that absorbs excess acid.^{156-160;162} This also protects the sensitive esophageal tissues because the gel foam prevents the stomach's contents from backing up into the esophagus.^{156-159;162}

Because of their unique actions, alginates offer relief that is rapid, often within a few seconds; and long-lasting – usually for several hours.¹⁵⁶⁻¹⁶⁰ Alginate-based formulations are used to relieve reflux symptoms in adults, children, and during pregnancy.¹⁶²

Alginate contains D-mannuroic and L-glucuronic acids.¹⁶³ Alginate, a natural polymer, is used in many applications to support wound healing and is known for its excellent biocompatibility. It is also well-known for its ability to form hydrogel films, which are natural polymer networks that are used for both wound healing and drug delivery systems. Hydrogels are known for their ability to maintain their structure and provide a moist, protective environment in which wounds can heal well.^{160,163}

Alginate and Aloe vera are used together to produce hydrogels to enhance wound healing.¹⁶³ Sodium alginate is found to help eradicate of *H. pylori* when combined with drug therapy.^{157,159,164} Sodium alginate alleviates the pain of oral mucositis and reduces oral mucosa erosion due to radiotherapy.¹⁶⁵

Researchers studied a compound containing sodium bicarbonate, alginate, Aloe gel, Propolis, and several herbs. Their clinical study lasted over six months. They found this combination effectively decreased incidence and symptoms of GERD and supported healing of mucosal lesions in the pharynx and esophagus.¹⁶¹

Nutritional Compounds

Potassium Bicarbonate

Potassium, an electrolyte, is essential for healthy muscular and digestive function. The bicarbonate form of potassium is found equal to or significantly better than antacids traditionally used to relieve heartburn symptoms. It is often combined with sodium alginate formulations to relieve heartburn and acid reflux. Bicarbonate has a very high buffering capacity.¹⁶⁶⁻¹⁶⁹

Zinc Carnosine

Bound together in equal proportions, zinc and carnosine form a unique ingredient that is found to benefit the gastric lining. It consists of a zinc ion, L-carnosine, a beta-alanine dipeptide, and L-histidine.¹⁷⁰ In vivo studies show this compound exerts a protective influence on the gastric mucosa and in the small intestine. It was also found to promote gut mucosal integrity and repair. Studies suggest its potential in preventing increased gut permeability.¹⁷¹

Zinc carnosine, considered an antiulcer compound, is found beneficial for gastric ulcers.¹⁷² Zinc carnosine possesses antioxidant action, which contributes to its gastric protective effect.^{173,174} Carnosine, a dipeptide with beta-alanine and L-histidine, is found in long-lived cells including muscle and nerve cells. It functions as an antioxidant.¹⁷¹

Zinc, a non-toxic, biologically-essential trace mineral, is vital for almost all physiological processes. The only metal that is a coenzyme to all classes of enzymes, zinc is a key component of over 300 metalloenzymes. Zinc is essential for over 2000 transcription factors involved with gene transcription and regulation of lipid, protein, and nucleic acid metabolism.¹⁷⁵⁻¹⁷⁸

Necessary to maintain the structural integrity of DNA, zinc also plays a role in cellular metabolism, immune function, wound healing, and acts as a messenger in signal transduction. Because of the far-reaching influence of zinc, even mild zinc deficiency is deleterious to numerous biochemical and immunological functions.¹⁷⁹

Serum zinc status is indicative of gastric membrane protection from damage by *H. pylori*.¹⁷³ A meta-analysis of 19 studies involving an estimated 400,000 participants found zinc intake levels to be inversely associated with digestive tract cancers, especially colorectal cancer.¹⁸⁰

Zinc is found to enhance gastrointestinal epithelial barrier function and is protective of mucosal cells.¹⁵¹ Zinc supplementation is found to benefit the treatment of oral mucosal diseases.^{181,182}

Glutamine

Glutamine, though not an essential amino acid, is the most abundant amino acid in the blood. Levels of glutamine markedly decline during periods of stress, injury, illness, trauma, and during radiation therapy. Since glutamine becomes essential during these times, it is considered conditionally essential.¹⁸³

Glutamine supplementation is found to enhance immune function. It reduces the rate of infection and degree of inflammation. It regulates intestinal barrier function and health and is protective of the intestinal mucosa.¹⁸⁴⁻¹⁸⁶ Dysfunction of the intestinal mucosal barrier is associated with gut permeability and progression of multiple gastrointestinal diseases. Glutamine is found to promote intestinal mucosal cell differentiation and proliferation.¹⁸⁷⁻¹⁹³



Glutamine

Glutamine is utilized as a source of energy and for nucleotide synthesis by rapidly dividing cells, such as those of the intestinal lining and certain immune cells (thymocytes, lymphocytes, and macrophages). Glutamine supports intestinal function and is found to enhance healing from gastric and peptic ulcers. It is a vital nutrient used by both the intestinal immune cells (the lymphocyte-rich Peyer's patches) and mucosal cells.¹⁸³

Glutamine exerts immunomodulatory activity. It demonstrates antioxidant activity and is a precursor amino acid for the production of glutathione.^{192,193} Glutamine is also found to relieve radiation-induced oral mucositis.¹⁹⁴⁻¹⁹⁷

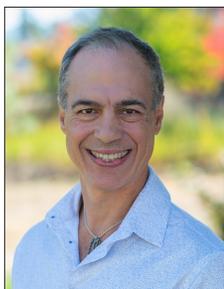
Conclusion

Our health is as an ongoing, dynamic process that creates ongoing stability (homeostasis) through allostatic processes. Our resilience and robustness depends on our ability to adapt and evolve with our constantly changing environment. The integrity of the GI tract influences the health of the whole system. The epithelial lining of the gut, which extends from the lower esophageal sphincter to the anus, plays a key role in physiological homeostasis. These epithelial tissues provide a dynamic interface for a vast communication network and play key roles in the gut-brain axis, ENS (enteric nervous system), immune response, and neuroendocrine system. Any disruption in tissue integrity impedes this vital, ongoing flow of information

and signaling that mediates and modulates multiple functions.

Since GI tissues are prone to inflammation, a thorough therapeutic approach focuses on both calming inflammatory processes and on supporting the integrity of the gut tissues themselves. These two therapeutic keys go hand-in-hand. Because inflammation causes damages to the tissues, normalizing those processes will relieve inflammatory stress on the gut lining. At the same time, compounds to promote the restorative process in the epithelial and mucosal gut lining are essential. Botanical and nutritional compounds offer

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Donald R. Yance Jr., RH (AHG), CN, is a practicing Clinical Master Herbalist, Certified Nutritionist and the author of two books including his latest *Adaptogens in Medical Herbalism* (Healing Arts Press, 2013). Providing visionary leadership in the field of natural medicine, Yance has maintained a successful clinical practice for over two decades and trains practitioners worldwide in his therapeutic methodology for patient care. He is the founder and formulator of Natura Health Products, a line of botanical and nutritional formulas, which are based on key principles of Yance's Eclectic Triphasic Medical System.



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Suzanne E. Sky, L.Ac., MTOM has been a Chinese medicine practitioner since 1989 and involved with herbs, nutrition and the healing arts since the mid-70s. She worked with Donald Yance as clinical associate for five years until 2004 and since then often as a writing associate.

With Chinese medicine as her framework, Suzanne integrates herbal, nutritional and lifestyle recommendations along with gentle energy work (Jin Shin Jyutsu) or acupuncture. Blending ancient Chinese wisdom with modern knowledge, her work focuses on supporting and nourishing each person's innate healing and regenerative capacity. Her clinic, Ashland Acupuncture, is located in Ashland, Oregon. She teaches Qi Gong and Chinese medicine classes.

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